

Sexual Misconduct Data Report

September 1, 2015 - August 31, 2016

Introduction

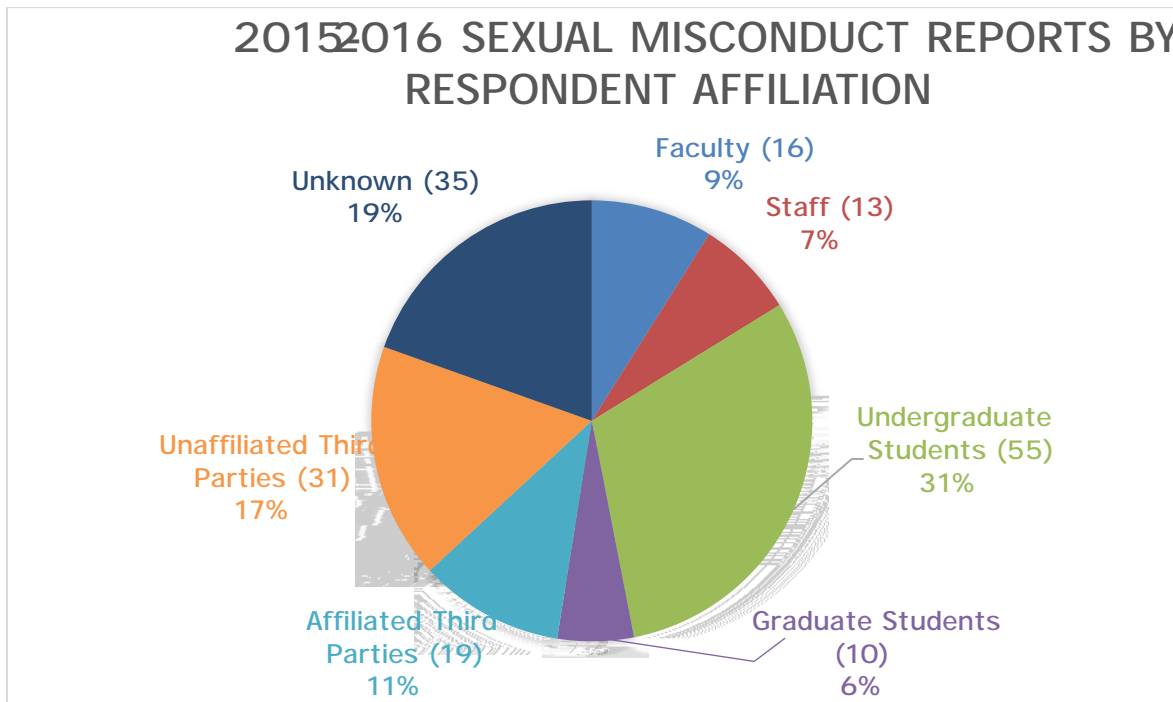
Northwestern University is committed to fostering an environment in which all members of our community are safe, secure, and free from sexual misconduct of any form. Northwestern's [Policy on Sexual Misconduct](#) prohibits all forms of sexual misconduct, including sexual assault, sexual

[Guide on Sexual Misconduct and Title IX.](#)" One of the options that may be available to a person who may have experienced sexual misconduct is a University adjudication of whether a violation of the Policy on Sexual Misconduct occurred. Northwestern uses the [Sexual Misconduct Complaint Resolution Process](#) to investigate and resolve complaints of sexual misconduct. Disciplinary action can be taken against any student, staff, or faculty member who is determined to have violated the policy. This

Data

1. Distribution of All Reports by Respondent Affiliation

The first chart illustrates the distribution by respondent affiliation of the 179 sexual misconduct reports received by the University in the 2015-2016 academic year. The **respondent** is the person who is alleged to have engaged in sexual misconduct. It is important to note that 36% of the reports received by the Sexual Harassment Prevention Office and the Office of Student Conduct involved reports against people not affiliated with Northwestern or people whose identity was unknown. For example, this includes reports about behavior by unaffiliated dating/domestic partners as well as incidents occurring off campus by non-Northwestern community members.³



³ When Northwestern receives reports alleging sexual misconduct by individuals not affiliated with the University (and not otherwise connected to a University program or activity), support and resources are offered to the impacted individual(s) and other measures that may be needed to secure the safety of the community are taken

2. Distribution of All Reports by Type of Allegation

The following chart illustrates the distribution of reports received by type of sexual misconduct allegation. An additional category was added to capture reports that alleged both sexual assault and dating/domestic violence. When a report involved allegations of other combinations of sexual misconduct offenses (e.g. sexual assault and sexual harassment), it has been

3. Reports Against Students

A. Distribution of Sexual Misconduct Reports Involving Student

B. How Complainants Elected to Proceed

The following chart illustrates how the 65 reports of sexual misconduct against students were handled.

When a report is received from any source, including directly from ***complainants***⁴ and indirectly through others , the University reaches out to the individual(s) who may have experienced sexua

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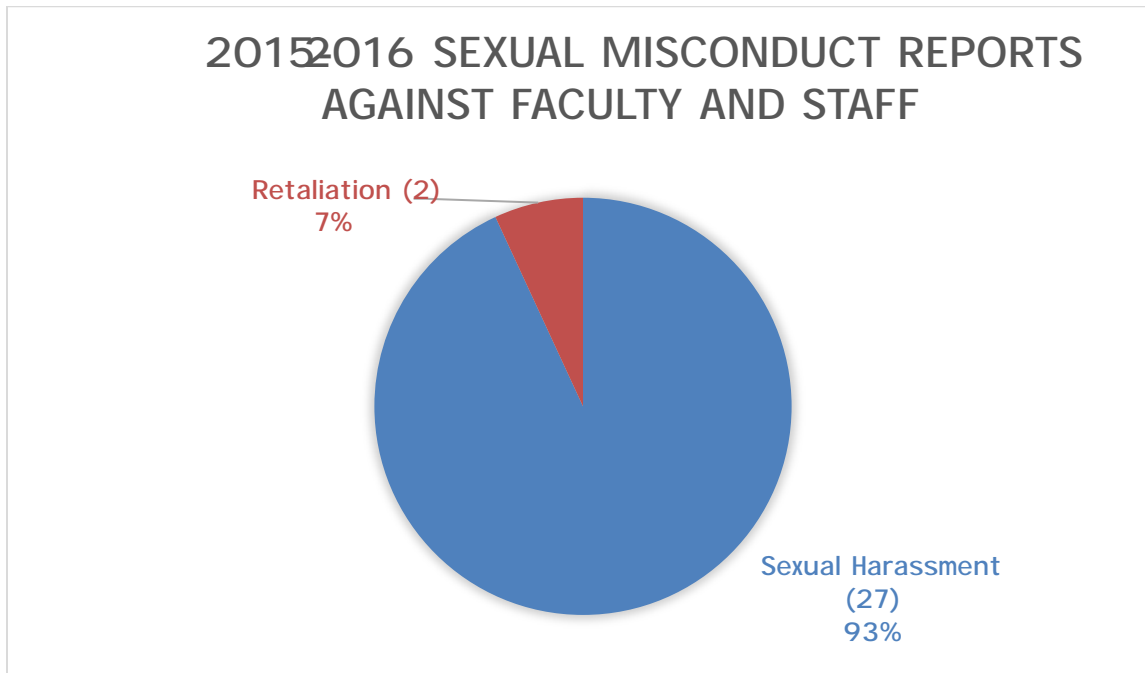
This chart provides outcomes related to sexual misconduct policy violations. Findings for other policy violations (e.g. drug or alcohol policies) are not included in this chart. A student may have been found not responsible for a sexual misconduct violation but may have been found responsible for violating other policies and sanctioned for those violations.

When a report involved allegations of multiple sexual misconduct offenses (e.g. sexual assault and sexual harassment), it was categorized on the basis of the most severe allegation.

4. Reports Against Faculty and Staff

A. Distribution of Sexual Misconduct Reports Involving Faculty and Staff Respondents by Category of Violation Alleged

During the 2015-2016 academic year, all 29 reports alleging sexual misconduct by faculty or staff respondents involved allegations of sexual harassment or retaliation, and none involved allegations of sexual assault, sexual exploitation, stalking, or dating/domestic violence.



B. How Complainants Elected to Proceed

The following chart illustrates how reports of sexual misconduct against faculty and staff were handled.

When a report is received from any source, including directly from complainants and indirectly through others, the University reaches out to the individual(s) who may have experienced sexual misconduct to make them aware of their options and the resources that are available to them. In cases where the individual does not wish to proceed with an investigation, Northwestern tries to honor that request. (In some cases, the University may need to proceed with an investigation based on concern for the safety of the community).

In cases where a complainant wishes to proceed with adjudication of a complaint and there is reasonable information to suggest a policy violation may have occurred, the case will be handled through **formal resolution**, which involves an investigation. In cases where formal resolution is not desired by the complainant or when there is not enough information to proceed with formal resolution, **informal resolution** can be used as a way to prevent the recurrence of sexual misconduct and remediate its impact. Informal resolution does not result in findings related to responsibility or in sanctions. Informal resolution can include, for example, no-contact directives, educational or advisory meetings with respondents, or changes to work arrangements.

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Conclusion

Northwestern is committed to providing a safe campus environment that is free from sexual misconduct and sex discrimination and where people understand their responsibilities to help prevent sexual misconduct.

In addition to responding to reports of sexual misconduct, Northwestern has been actively involved in educating the community on sexual misconduct. The [Sexual Harassment Prevention Office](#) and the [Center for Awareness, Response and Education \(CARE\)](#) have led in-person training sessions and workshops for many groups of faculty, staff, and students throughout the University. Undergraduates complete a student-focused online training and interactive live training when they enter Northwestern. In addition, all faculty, staff, and graduate students were asked to complete a customized online educational program on sexual misconduct and sex discrimination in 2015 -2016, and new employees in 2017. Page 8 of 12